Separate Account Managers in Sustainable and Responsible Investing

Strategy Profile:
Appleseed U.S. Equity ESG

About This Strategy

Assets Under Management (AUM): $0M (in US$ millions)
Required Minimum: --
Benchmark used: MSCI World
Strategy type: All Cap
Strategy description: With our U.S. all-cap value ESG strategy, we seek to generate strong returns by making prudent, disciplined, and sustainable long-term equity investments in high quality U.S. companies.
Strategy web link: External Link

About The Company

Institution Name:
Appleseed Capital

Approach to Sustainable & Responsible Investment: Founded in 1990 and based in Chicago, IL Appleseed Capital is the impact focused investment group within Pekin Singer Strauss Asset Management. Appleseed Capital strategies employ a go-anywhere value approach that integrates Environmental, Social and Corporate Governance (ESG) criteria. Our primary investment goal has always been the preservation of capital, balanced against generating attractive rates of return over the long-term. We consider ourselves to be risk-averse investors; we focus as much time and energy seeking to avoid downside risk as we do seeking to generate positive returns for our investors.

Total AUM in SRI: $190M (in US$ millions)
Research conducted: Both internally and externally
Researcher name: Portfolio Managers

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Specific Screening Information by Category

Environment

- Climate / Clean Technology: Combination of Positive and Restricted/Exclusionary Strategies
- **Pollution / Toxics:** Combination of Positive and Restricted/Exclusionary Strategies
- **Other Environmental:** Combination of Positive and Restricted/Exclusionary Strategies

**Social**

- **Community Development:** Combination of Positive and Restricted/Exclusionary Strategies
- **Diversity & Equal Employment Opportunity:** Combination of Positive and Restricted/Exclusionary Strategies
- **Human Rights:** Combination of Positive and Restricted/Exclusionary Strategies
- **Labor Relations:** Combination of Positive and Restricted/Exclusionary Strategies
- **Conflict Risk:** Restricted/Exclusionary Investment

**Governance**

- **Board Issues:** Combination of Positive and Restricted/Exclusionary Strategies
- **Executive Pay:** Combination of Positive and Restricted/Exclusionary Strategies

**Products**

- **Alcohol:** Restricted/Exclusionary Investment
- **Animal Welfare:** Combination of Positive and Restricted/Exclusionary Strategies
- **Defense/Weapons:** Restricted/Exclusionary Investment
- **Gambling:** Restricted/Exclusionary Investment
- **Tobacco:** Restricted/Exclusionary Investment

**Other**

- **Shareholder Engagement - Shareholder Resolutions:** Filed or co-filed with companies on environmental, social or governance issues
- **Shareholder Engagement - Private Dialogue:** Conducted with companies on environmental, social or governance issues

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