Separate Account Managers in Sustainable and Responsible Investing

Strategy Profile:
1919 Investment Counsel SRI Equity Institutional

About This Strategy

**Assets Under Management (AUM):** $526.7M (in US$ millions)

**Required Minimum:** 1 million

**Benchmark used:** S & P 500

**Strategy type:** Equity Large Cap

**Strategy description:** The SRI Equity Institutional strategy aims to achieve superior long-term appreciation through rigorous stock selection based upon proprietary fundamental analysis combined with a top-down approach to portfolio construction. Our dedicated team of social research analysts conducts proprietary social research on domestic and international companies and issues. The team strives to identify companies with superior characteristics including solid long-term earnings growth, high sustainable profitability, quality management, sound capital structure, strong cash flows and reasonable valuation. Socially responsive investing strategies seek competitive risk-adjusted returns, while reflecting the unique social goals of each client. Researchers apply customized screens to help 1919 Investment Counsel's portfolio managers eliminate companies that do not meet the client's specific social requirements and select investments that do.

**Strategy web link:** --

About The Company

**Institution Name:**
1919 Investment Counsel

**Approach to Sustainable & Responsible Investment:** 1919 Investment Counsel works with socially aware investors including individuals, businesses, schools, foundations, religious institutions, and other nonprofit organizations. With more than 35 years of experience, the firm partners with clients to create customized investment solutions. The firm's equity and fixed income strategies help clients achieve sustainable financial success in harmony with their values.

**Total AUM in SRI:** $1180.0M (in US$ millions)

**Research conducted:** Both internally and externally

**Researcher name:** Internal social research team

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Specific Screening Information by Category

Environment

- **Climate / Clean Technology**: *Combination of Positive and Restricted/Exclusionary Strategies* - The selection and application of this criteria is based on a client's ethical investing goals.
- **Pollution / Toxics**: *Restricted/Exclusionary Investment* - The selection and application of this criteria is based on a client's ethical investing goals.
- **Other Environmental**: *Combination of Positive and Restricted/Exclusionary Strategies* - The selection and application of this criteria is based on a client's ethical investing goals.

Social

- **Community Development**: *Combination of Positive and Restricted/Exclusionary Strategies* - The selection and application of this criteria is based on a client's ethical investing goals.
- **Diversity & Equal Employment Opportunity**: *Combination of Positive and Restricted/Exclusionary Strategies* - The selection and application of this criteria is based on a client's ethical investing goals.
- **Human Rights**: *Combination of Positive and Restricted/Exclusionary Strategies* - The selection and application of this criteria is based on a client's ethical investing goals.
- **Labor Relations**: *Combination of Positive and Restricted/Exclusionary Strategies* - The selection and application of this criteria is based on a client's ethical investing goals.
- **Conflict Risk**: *Restricted/Exclusionary Investment* - The selection and application of this criteria is based on a client's ethical investing goals.

Governance

- **Board Issues**: *Combination of Positive and Restricted/Exclusionary Strategies* - The selection and application of this criteria is based on a client's ethical investing goals.
- **Executive Pay**: *Combination of Positive and Restricted/Exclusionary Strategies* - The selection and application of this criteria is based on a client's ethical investing goals.

Products

- **Alcohol**: *Restricted/Exclusionary Investment* - The selection and application of this criteria is based on a client's ethical investing goals.
- **Animal Welfare**: *Restricted/Exclusionary Investment* - The selection and application of this criteria is based on a client's ethical investing goals.
- **Defense/Weapons**: *Restricted/Exclusionary Investment* - The selection and application of this criteria is based on a client's ethical investing goals.
- **Gambling**: *Restricted/Exclusionary Investment* - The selection and application of this criteria is based on a client's ethical investing goals.
- **Tobacco**: *Restricted/Exclusionary Investment* - The selection and application of this criteria is based on a client's ethical investing goals.

Other

- **Other/Qualitative**: *Other client-specific criteria available upon consultation.*
- **Shareholder Engagement - Private Dialogue**: Conducted with companies on environmental, social or governance issues
- **Proxy Voting**: Actively voted by my institution for this investment strategy in support of environmental, social or governance issues in accordance with formal guidelines