Separate Account Managers in Sustainable and Responsible Investing

Strategy Profile:
Dana Socially Responsible Equity

About This Strategy

Assets Under Management (AUM): $313M (in US$ millions)

Required Minimum: $1 million

Benchmark used: S&P 500 Composite Total Return

Strategy type: All Cap

Strategy description: The Dana Socially Responsible Equity strategy is an all-cap, domestic equity strategy benchmarked against the S&P 500. This product is invested using Dana’s sector-neutral, relative-value process. Eligible securities are first screened against a list of exclusions (tobacco, gambling, weapons, and others), and then examined for both positive and negative characteristics covering corporate governance, environmental impact, labor relations and human rights, diversity, and product-related issues. We invest in companies that are attractive from a social perspective, in portfolios that are constructed to allow meaningful excess returns with below-market risk metrics.

Strategy web link: External Link

About The Company

Institution Name:
Dana Investment Advisors

Approach to Sustainable & Responsible Investment: For over 30 years, Dana Investment Advisors has achieved success by striving to provide above market returns with lower than average risk. We believe in the long-term attractiveness of our disciplined strategies, which are built upon proven, value-oriented investment principles combined with meticulous risk controls. Dana is 100% employee owned, and our team is constantly focused on protecting and enhancing the trust that has been placed with us by our clients.

Total AUM in SRI: $426M (in US$ millions)

Research conducted: Both internally and externally

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Specific Screening Information by Category

Environment
- **Climate / Clean Technology**: Combination of Positive and Restricted/Exclusionary Strategies
- **Pollution / Toxics**: Combination of Positive and Restricted/Exclusionary Strategies
- **Other Environmental**: Combination of Positive and Restricted/Exclusionary Strategies

### Social
- **Community Development**: No Screens
- **Diversity & Equal Employment Opportunity**: Combination of Positive and Restricted/Exclusionary Strategies
- **Human Rights**: Combination of Positive and Restricted/Exclusionary Strategies
- **Labor Relations**: Combination of Positive and Restricted/Exclusionary Strategies
- **Conflict Risk**: No Investment

### Governance
- **Board Issues**: Combination of Positive and Restricted/Exclusionary Strategies
- **Executive Pay**: Combination of Positive and Restricted/Exclusionary Strategies

### Products
- **Alcohol**: No Investment - No investment in producers or companies whose primary revenue source is distribution of alcohol.
- **Animal Welfare**: Combination of Positive and Restricted/Exclusionary Strategies
- **Defense/Weapons**: Combination of Positive and Restricted/Exclusionary Strategies
- **Gambling**: No Investment
- **Tobacco**: No Investment - No investment in producers or companies whose primary revenue source is distribution of tobacco.

### Other
- **Proxy Voting**: Actively voted by my institution for this investment strategy in support of environmental, social or governance issues in accordance with formal guidelines

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