UBS International Sustainable Equity Fund

General Fund Information

Ticker: BNIEX

Assets under management (AUM): $210.87M (in US$ millions)

General Fund Type: International, Global, Foreign

Specific Sizes or Types of Investments: Under normal circumstances, the Fund invests at least 80% of its net assets (plus borrowings for investment purposes, if any) in equity securities. Investments in equity securities may include, but are not limited to, dividend-paying securities, common stock.

Financial Objective: The Fund seeks to maximize total return, consisting of capital appreciation and current income by investing primarily in the equity securities of non-US issuers.

Specific ESG Focus: The Fund is actively positioned to benefit from themes such as water and energy conservation demographics and other important trends. The firm has a robust analytical framework that addresses a broad range of macro-level ESG issues and trends that have a significant and sometimes material impact on each sector and company, creating both risks and opportunities for investors. ESG negative criteria restrict investment in companies with greater than 5% of revenues earned from alcohol, arms, gaming, genetically modified organisms (GMO) in agriculture, nuclear, pornography, tobacco and water bottles. However, it is important to note that the Fund is primarily a positive screening portfolio by seeking companies that are fundamentally attractive, have superior valuation characteristics and a positive business model that can benefit from sustainability trends.

To invest, call: You can buy Fund shares through your investment professional at a broker-dealer or other financial institution with which UBS Asset Management (US) has a dealer agreement. You can call our internal sales desk for further information 888-793-8637 (option 1)

Fund Institution Information

Institution Name:
UBS Asset Management (Americas) Inc.

Contact Info:
1 N Wacker Drive
Chicago, IL 60606
USA
Phone: (312) 525-7842
Fax: 312-525-7062
Email: shari.gilfillan@ubs.com
Web: http://www.ubs.com
Account Minimums

Minimum Account: Class A & C Shares: 1,000. Class P Shares (Institutional share class), please see the prospectus.

Minimum IRA: Class A & C Shares: 1,000. Class P Shares (Institutional share class), please see the prospectus.

Methodology

Screening Venue: In-house

Screening Party: NA

Screening Database Used: NA

Screening Process: Our objective is to invest in companies that meet three important criteria; First, the stock must be fundamentally attractive from a conventional valuation standpoint. Second, we invest in companies that have strong, above-average, forward-looking sustainability profiles. We do not rely on outside ESG rating providers for stock selection input. We find outside rating providers often lag changes in corporate sustainability. Instead, we build our ESG assessment from the ground up using company-level data, which provides us more depth and valuable insights that we discuss with our equity analysts. Finally, we invest in companies that have strong business models that can benefit from Sustainability trends. We believe that companies with strong shared values with the surrounding community can achieve a meaningful long-term competitive advantage. UBS Asset Management’s Applied Research unit in conjunction with outside experts is in the process of building a leading edge database of fundamental sustainability data at the company and sector level that is used alongside valuation data from our analysts to rank the investment universe on both fundamental and sustainability attractiveness. The database parallels the approach taken by the Sustainability Accounting Standards Board in building its Materiality Map. We believe that this database is unique and gives us a significant proprietary edge in the incorporation of fundamental, material sustainability data in the investment process.

Proxy Voting Information

Proxy Voting Guidelines or Policies: Open external link in new window

Proxy Voting Records: --

Specific Screening Information by Category

Environment

- Climate / Clean Technology: Combination of Positive and Restricted/Exclusionary Strategies - Positive screening
- Pollution / Toxics: Combination of Positive and Restricted/Exclusionary Strategies - Positive screening looking for best practices dealing with subject
- Other Environmental: Combination of Positive and Restricted/Exclusionary Strategies - Positive screening

Social

- Community Development: Combination of Positive and Restricted/Exclusionary Strategies - Positive screening
- Diversity & Equal Employment Opportunity: Combination of Positive and Restricted/Exclusionary Strategies - Positive screening
- Human Rights: Combination of Positive and Restricted/Exclusionary Strategies - Positive screening
- Labor Relations: Combination of Positive and Restricted/Exclusionary Strategies - Positive screening
- Conflict Risk: Restricted/Exclusionary Investment

Governance

- Board Issues: Combination of Positive and Restricted/Exclusionary Strategies - Positive screening
- Executive Pay: Combination of Positive and Restricted/Exclusionary Strategies - Positive screening

Products
- Alcohol: No Investment
- Animal Welfare: Combination of Positive and Restricted/Exclusionary Strategies - Positive screening
- Defense/Weapons: No Investment
- Gambling: No Investment
- Tobacco: No Investment

Other

- Shareholder Engagement - Shareholder Resolutions: Filed or co-filed with companies on environmental, social or governance issues
- Shareholder Engagement - Private Dialogue: Conducted with companies on environmental, social or governance issues

Performance Data

Data provided by: Bloomberg - Information current as of January 31, 2020

Year-to-date rate of return: -1.98%
1-year rate of return: 11.3%
3-year rate of return: 8.1%
5-year rate of return: 4.89%
10-year rate of return: 5.91%
Previous year rate of return: 22.38%

Management fee: 0.8%
Expense ratio: 1.25%
Standard deviation: 12.05%

Benchmark used: MSCI World ex USA | External link

Disclaimer: Please see the prospectus for all relevant disclaimers. Effective on October 28, 2015, UBS Global Sustainable Equity Fund was renamed UBS International Sustainable Equity Fund, with the Fund's benchmark changing from the MSCI World Free Index (net) to the MSCI World ex USA Index (net). The Fund's investment objective and policies as stated in the Fund's prospectus will remain the same, as will the portfolio management team and the investment process used for security selection.

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1660 L Street NW, Suite 306
Washington, DC 20036
Phone (202) 872 5361 Fax (202) 775 8686