



The Forum for Sustainable and Responsible Investment

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Sustainable, Responsible and Impact Mutual Fund and ETF Chart

Fund Profile:

Walden Asset Management Fund

General Fund Information

Ticker: WSBFX

Assets under management (AUM): \$147.86M (in US\$ millions)

General Fund Type: Balanced Funds

Specific Sizes or Types of Investments: --

Financial Objective: The Walden Asset Management Fund seeks long-term capital growth and income through an actively managed portfolio of stocks, bonds and money market instruments.

Specific ESG Focus: The Fund integrates environmental, social and governance (ESG) analysis into the investment selection and company engagement process. Among the issues on which Walden evaluates companies and pursues shareholder engagement are: product safety and desirability, workplace policies and practices, environmental impact, community impact and corporate governance and accountability. The Fund actively promotes corporate accountability and positive change through company dialogue and shareholder resolutions, proxy voting, public policy initiatives, and educational outreach. In doing so, the Fund encourages companies to recognize that the sustainability of their profits is connected, in part, to how they treat workers, customers, communities and the natural environment as valuable, long-term assets.

To invest, call: Lindsey Lane, Business Development Analyst, 617-726-7257

Fund Institution Information

Institution Name:

Walden Asset Management

Contact info:

1 Beacon Street

Boston, MA 02108

United States

Phone: 6177267257

Email: llane@bostontrust.com

Web: <http://www.waldenassetmgmt.com>

Account Minimums

Minimum Account: \$100,000

Minimum IRA: --

Methodology

Screening Venue: In-house

Screening Party: --

Screening Database Used: --

Screening Process: <http://www.waldenassetmgmt.com/Investing-for-Change/esg-research>

Proxy Voting Information

Proxy Voting Guidelines or Policies: [Open external link in new window](#)

Proxy Voting Records: [Open external link in new window](#)

Specific Screening Information by Category

Environment

- **Climate / Clean Technology:** *Combination of Positive and Restricted/Exclusionary Strategies* - Walden Asset Management shares our clients'™ deep concern regarding climate change. To achieve the global emissions reduction required to avoid catastrophic climate change, we believe that priority number one is to develop, enact, and enforce strong public policies that place a price on carbon. Since U.S. and global economic growth remain inextricably linked to fossil fuel use during the transition to a more carbon-constrained environment, the Walden mutual funds pursue a multi-pronged approach that integrates climate change risk in investment decision-making, corporate engagement, and public policy advocacy. Walden favors investment in companies with products and processes promoting energy efficiency and natural resource conservation; strong and transparent greenhouse gas reduction policies, goals, programs, and results; and supportive and consistent public policy positions. More proactively, Walden looks for opportunities to invest in companies with innovative, solutions-oriented technologies such as energy and fuel efficiency, carbon sequestration or renewable energy development. Walden's™ investment approach results in portfolios with favorable carbon footprints relative to those of comparable benchmarks.
- **Pollution / Toxics:** *Combination of Positive and Restricted/Exclusionary Strategies*
- **Other Environmental:** *Combination of Positive and Restricted/Exclusionary Strategies*

Social

- **Community Development:** *Combination of Positive and Restricted/Exclusionary Strategies*
- **Diversity & Equal Employment Opportunity:** *Combination of Positive and Restricted/Exclusionary Strategies*
- **Human Rights:** *Restricted/Exclusionary Investment* - Avoid companies believed to have significant complicity in serious violations of human rights.
- **Labor Relations:** *Combination of Positive and Restricted/Exclusionary Strategies*

Governance

Products

- **Alcohol:** *Restricted/Exclusionary Investment* - Avoid companies that derive significant revenues from the manufacture of alcoholic beverages.
- **Animal Welfare:** *Restricted/Exclusionary Investment* - Avoid companies with significant substandard performances on animal testing (inadequate policies on humane treatment, minimizing use, support of alternative testing).
- **Defense/Weapons:** *Restricted/Exclusionary Investment* - Avoid companies that derive significant revenues from the manufacture of weapons.
- **Gambling:** *Restricted/Exclusionary Investment* - Avoid companies that derive significant revenues from gaming activities.
- **Tobacco:** *Restricted/Exclusionary Investment* - Avoid companies that derive significant revenues from the manufacture of tobacco products.

Other

- **Shareholder Engagement - Shareholder Resolutions:** Filed or co-filed with companies on environmental, social or governance issues
- **Shareholder Engagement - Private Dialogue:** Conducted with companies on environmental, social or governance issues

Performance Data

Data provided by: Bloomberg - Information current as of July 31, 2019

Year-to-date rate of return: 15.53%

1-year rate of return: 9.1%

3-year rate of return: 9.83%

5-year rate of return: 8.46%

10-year rate of return: 9.55%

Previous year rate of return: -1.89%

Management fee: 0.75%

Expense ratio: 1%

Standard deviation: 12.72%

Benchmark used: Blend: 60% S&P 500 - 40% BC Aggregate

Disclaimer: Equity securities (stocks) are generally more volatile and carry more risk than fixed income securities (bonds) and money market investments. The net asset value per share of this Fund will fluctuate as the value of the securities in the portfolio changes. Common stocks, and funds investing in common stocks, generally provide greater returns over long time periods than fixed income securities. Foreign investing involves risks not typically associated with U.S. investments, including adverse political, social and economic developments and differing auditing and legal standards. Compared to stocks, bonds generally offer a relatively stable, higher level of income, although bond prices will fluctuate providing the potential for principal gain or loss. The Fund is comprised primarily of equity and fixed income securities and is subject to market risk. Stocks may decline due to general market and economic conditions or due to company specific prospects and circumstances. Please see the Fund's prospectus for additional information.

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